

12/30/99

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Approved for use through 09/30/2000 OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. RCA 89,385

First Inventor or Application Identifier Lynch

Title Ratings Control System with...

Express Mail Label No. EL278372521US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 13]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
4. Oath or Declaration [Total Pages]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 15: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.37), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.37).

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS) PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
13. ☐ Small Entity Statement(s) ☐ Statement filed in prior application. Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. /

Prior application information: Examiner

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

| | | | | | |
|---------|-----------------------------------|-----------|--------------|----------|--------------|
| Name | Joseph S. Tripoli | | | | |
| | Thomson Multimedia Licensing Inc. | | | | |
| Address | PO Box 5312 | | | | |
| City | Princeton | State | NJ | Zip Code | 08543-5312 |
| Country | USA | Telephone | 609-734-9443 | Fax | 609-734-9700 |

| | | | |
|-------------------|------------------------|-----------------------------------|----------|
| Name (Print/Type) | Robert D. Shedd | Registration No. (Attorney/Agent) | 36,269 |
| Signature | <i>Robert D. Shedd</i> | Date | 12/30/99 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.
 Small Entity payments must be supported by a small entity statement,
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$) 768.00

Complete if Known

Application Number n/a
 Filing Date Herewith
 First Named Inventor Lynch
 Examiner Name n/a
 Group / Art Unit n/a
 Attorney Docket No. RCA 89,385

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number
Deposit
Account
Name

07-0832

Thomson multimedia

Licensing Inc.

- ☒ Charge Any Additional
Fee Required Under
37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity
Fee Fee Fee Fee
Code (\$) Code (\$) Fee Description

101 760 201 380 Utility filing fee
 106 310 206 155 Design filing fee
 107 480 207 240 Plant filing fee
 108 760 208 380 Reissue filing fee
 114 150 214 75 Provisional filing fee

Fee Paid

690

SUBTOTAL (1) (\$) 690

2. EXTRA CLAIM FEES

Total Claims 9 - 20** = 0 x Fee from below 0 = 0
 Independent Claims 4 - 3** = 1 x Fee from below 78 = 78
 Multiple Dependent 0 = 0

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Fee Fee Fee
Code (\$) Code (\$) Fee Description

103 18 203 9 Claims in excess of 20
 102 78 202 39 Independent claims in excess of 3
 104 260 204 130 Multiple dependent claim, if not paid
 109 78 209 39 ** Reissue independent claims
over original patent
 110 18 210 9 ** Reissue claims in excess of 20
and over original patent

SUBTOTAL (2) (\$) 78

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity
Fee Fee Fee Fee
Code (\$) Code (\$) Fee Description

105 130 205 65 Surcharge - late filing fee or oath
 127 50 227 25 Surcharge - late provisional filing fee or
cover sheet.
 139 130 139 130 Non-English specification
 147 2,520 147 2,520 For filing a request for reexamination
 112 920* 112 920* Requesting publication of SIR prior to
Examiner action
 113 1,840* 113 1,840* Requesting publication of SIR after
Examiner action
 115 110 215 55 Extension for reply within first month
 116 380 216 190 Extension for reply within second month
 117 870 217 435 Extension for reply within third month
 118 1,360 218 680 Extension for reply within fourth month
 128 1,850 228 925 Extension for reply within fifth month
 119 300 219 150 Notice of Appeal
 120 300 220 150 Filing a brief in support of an appeal
 121 260 221 130 Request for oral hearing
 138 1,510 138 1,510 Petition to institute a public use proceeding
 140 110 240 55 Petition to revive - unavoidable
 141 1,210 241 605 Petition to revive - unintentional
 142 1,210 242 605 Utility issue fee (or reissue)
 143 430 243 215 Design issue fee
 144 580 244 290 Plant issue fee
 122 130 122 130 Petitions to the Commissioner
 123 50 123 50 Petitions related to provisional applications
 126 240 126 240 Submission of Information Disclosure Stmt
 581 40 581 40 Recording each patent assignment per
property (times number of properties)
 146 760 246 380 Filing a submission after final rejection
(37 CFR 1.129(a))
 149 760 249 380 For each additional invention to be
examined (37 CFR 1.129(b))

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) _____

SUBMITTED BY

Typed or
Printed Name Robert D. Shedd

Signature

Robert D. Shedd

Date

12/30/99

Complete (if applicable)

Reg. Number 36,269

Deposit Account
User ID

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